



IFM  
2611

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	09/941,424
		Filing Date	August 28, 2001
		First Named Inventor	Curtis E. Jutzi
		Art Unit	2611
		Examiner Name	Gary Au
Total Number of Pages in This Submission		Attorney Docket Number	42390P11870

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">- Return Post Card - Copies of 1 cited references</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOLOKOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	October 13, 2006

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Shannon A. Handy		
Signature		Date	October 13, 2006



# **FEE TRANSMITTAL for FY 2005**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$) 180.00

## **Complete if Known**

Application Number	09/941,424
Filing Date	August 28, 2001
First Named Inventor	Curtis E. Jutzi
Examiner Name	Gary Au
Art Unit	2611
Attorney Docket No.	42390P11870

## **METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. ☒ Credit any overpayments

## **FEE CALCULATION**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (2)** (\$) 180.00

## **SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	<b>Gregory D. Caldwell</b>	Registration No. (Attorney/Agent)	<b>39,926</b>	Telephone	<b>(503) 439-8778</b>
Signature		Date	<b>10/13/06</b>		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CURTIS E. JUTZI

Application No.: 09/941,424

Filed: August 28, 2001

For: SERVER-SIDE PREFERENCE PREDICTION BASED ON  
CUSTOMER BILLING INFORMATION TO GENERATE A  
BROADCAST SCHEDULE - UTILITY

Art Group: 2611

Examiner: Gary Au

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

10/18/2006 WASFAW1 00000016 022666 09941424

01 FC:1806 180.00 DA

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: October 13, 2006

  
\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (503) 439-8778

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\_\_\_\_\_  
Shannon A. Handy

10-13-06

Date

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(use as many sheets as necessary)**

Sheet

1

of

4

**Complete if Known**

Application Number	09/823,566
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Filing Date	March 30, 2001
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First Named Inventor	Jay H. Connelly
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Art Unit	3622
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Examiner Name	Donald Champagne
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Attorney Docket Number	42390P10859
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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-09/532,034	03-22-2000	Connelly	
	2	US-09/533,024	03-22-2000	Connelly	
	3	US-09/533,048	03-22-2000	Connelly	
	4	US-09/717,579	11-21-2000	Jutzi	
	5	US-4,546,382	10-08-1985	McKenna, et al.	
	6	US-4,566,030	01-21-1986	Nickerson, et al.	
	7	US-4,624,578	11-25-1986	Green	
	8	US-5,227,874	07-13-1993	Von Kohorn	
	9	US-5,388,211	02-07-1995	Hornbuckle	
	10	US-5,530,082	06-25-1996	Friebe, et al.	
	11	US-5,544,161	08-06-1996	Bigham, et al.	
	12	US-5,600,573	02-04-1997	Hendricks, et al.	
	13	US-5,734,890	03-31-1998	Case, et al.	
	14	US-5,740,549	04-14-1998	Reilly, et al.	
	15	US-5,758,247	04-26-1998	Herz, et al.	
	16	US-5,801,747	09-01-1998	Bedard	
	17	US-5,848,396	12-08-1998	Gerace	
	18	US-5,974,398	10-26-1999	Hanson, et al.	
	19	US-5,991,735	11-23-1999	Gerace	
	20	US-5,999,908	12-07-1999	Abelow	

## FOREIGN PATENT DOCUMENTS

[illegible]Examiner  
Signature


Date	
Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
Application Number		09/823,566			
Filing Date		March 30, 2001			
First Named Inventor		Jay H. Connelly			
Art Unit		3622			
Examiner Name		Donald Champagne			
Attorney Docket Number		42390P10859			

Sheet	2	of	4
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	22	US-6,012,051	01-04-2000	Sammon, Jr., et al.	
	23	US-6,018,359	01-25-2000	Kermode, et al.	
	24	US-6,226,618	05-01-2001	Downs, et al.	
	25	US-6,271,893	08-07-2001	Kawaguchi, et al.	
	26	US-6,463,585	10-08-2002	Hendricks, et al.	
	27	US-6,513,014	01-28-2003	Walker, et al.	
	28	US-6,625,716	09-23-2003	Fackenthal	
	29	US-6,642,862	11-04-2003	Boudry	
	30	US-6,668,246	12-23-2003	Yeung, et al.	
	31	US-6,782,476 B1	08-24-2004	Ishibashi	
	32	US-6,801,936 B1	10-05-2004	Diwan	
	33	US-6,923,911 B1	08-02-2005	Beier, et al.	
	34	US-7,047,456	05-16-2006	Jutzi	
	35	US-7,055,165	05-30-2006	Connelly	
	36	US-2002/0073245 A1	06-13-2002	Hallford	
	37	US-2002/0143591 A1	10-03-2002	Connelly	
	38	US-2002/0143607 A1	10-03-2002	Connelly	
	39	US-2002/0144265 A1	10-03-2002	Connelly	
	40	US-2002/0144269 A1	10-03-2002	Connelly	
	41	US-2002/0145052 A1	10-10-2002	Cessac	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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**Complete if Known**

Application Number	09/823,566
Filing Date	March 30, 2001
First Named Inventor	Jay H. Connelly
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	42	US-2002/0152474 A1	10-17-2002	Dudkiewicz	
	43	US-2002/0162120 A1	10-31-2002	Mitchell	
	44	US-2002/0167947 A1	11-14-2002	Hallford, et al.	
	45	US-2002/0193066 A1	12-19-2002	Connelly	
	46	US-2002/0194585 A1	12-19-2002	Connelly	
	47	US-2002/0194603 A1	12-19-2002	Connelly	
	48	US-2003/0005311 A1	01-02-2003	Kajimura, et al.	
	49	US-2003/0005438 A1	01-02-2003	Crinon, et al.	
	50	US-2003/0005451 A1	01-02-2003	Connelly	
	51	US-2003/0005465 A1	01-02-2003	Connelly	
	52	US-2003/0016673 A1	01-23-2003	Pendakur, et al.	
	53	US-2003/0046633 A1	03-06-2003	Jutzi	
	54	US-2003/0046683 A1	03-06-2003	Jutzi	
	55	US-2003/0058933 A1	03-27-2003	Jutzi	
	56	US-2003/0061611 A1	03-27-2003	Pendakur	
	57	US-2003/0065520 A1	04-03-2003	Jutzi, et al.	
	58	US-2003/0066090 A1	04-03-2003	Traw, et al.	
	59	US-2003/0135553 A1	07-17-2003	Pendakur	
	60	US-2003/0135857 A1	07-17-2003	Pendakur, et al.	
	61	US-			

## FOREIGN PATENT DOCUMENTS

[illegible]

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Signature

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